

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Iddys D Figueroa et al

Confirmation No.: 3171

Application No.: 10/801379

Examiner:

Filing Date: Mar 15, 2004

Group Art Unit:

Title: Application Of A Bioactive Agent To A Delivery Substrate

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
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☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Respectfully submitted,

Iddys D Figueroa et al

By \_\_\_\_\_

W. Bradley Haymond

Attorney/Agent for Applicant(s)

Reg. No. **35,186**

Date: 4/25/05

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/801379           |
| Filing Date            | Mar 15, 2004        |
| First Named Inventor   | Iddy Figueroa et al |
| Art Unit               | Unknown             |
| Examiner Name          | Unknown             |
| Attorney Docket Number | 200401492-1         |

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| Sheet | 1 | of | 2 |
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS |                          |   |                     |  |   |                |
|--------------------------|--------------------------|---|---------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | 1 <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  |   |                |
|                          |                          | EP 1 306 071 A2   | 05-02-2003          | Lee et al  |   |                |
|                          |                          | WO 03/041690  | 05-22-2003          | Rowe et al   |   |                |
|                          |                          |   |                     |  |   |                |
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|  |             | Art Unit                 | Unknown              |
| Examiner Name  | Unknown     |                          |                      |
| Attorney Docket Number   | 200401492-1 |                          |                      |
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| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | W.E. Katstra et al, "Pulsatory Oral Drug Delivery Devices Fabricated by Three Dimensional Printing", Proceed. Int'l Symp. Control. Rel. Bioact. Mater., 26, July 1999   |                |
|                                 |                       | W. E. Katstra et al", "Oral Dosage Forms Fabricated by Three Dimensional Printing", Jrnl of Controlled Release , 66, 2000, p 1-9  |                |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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